FORM NLRB-501 (3-21)

# UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE					
Case	31-CA-285511	Date Filed	11/1/2021		

### INSTRUCTIONS:

File an original with NLRB Regional Director for the Region in which the alleged unfair labor practice occurred or is occurring

1 EMPLOYER AGAINST	WHOM CHARGE IS BROUGHT		
a. Name of Employer	WHOW CHARGE IS BROOGHT	b. Tel. No. <b>(310) 255-2000</b>	
a. Hamo of Employer		5. Tol. 145. (615) 255 2555	
Activision Blizzard, Inc.	a Call Na		
Blizzard Entertainment, Inc.		c. Cell No.	
Activision Publishing, Inc.	f. Fax No. <b>(310) 479-4005</b>		
As a single employer	1. Fax No. (310) 479-4005		
As a single employer			
d Address (Chroat sity state and ZID sade)	e. Employer Representative	g. e-Mail	
d. Address (Street, city, state, and ZIP code)	c. Employer Representative	g. Giviali	
3100 Ocean Park Blvd.	Bobby Kotick – CEO	h. Number of workers employed	
Santa Monica, CA 90405	Bobby Roller – CEO	3,000+	
		3,333	
i. Type of Establishment (factory, mine, wholesaler, etc.)	j. Identify principal product or service		
video game developer and publisher	video games		
The above-named employer has engaged in and is engaging in			
Relations Act, and these unfair labor practices are practices affect		these unfair labor practices are practices	
affecting commerce within the meaning of the Act and the Postal	Reorganization Act		
2. Basis of the Charge (set forth a clear and concise statem	nent of the facts constituting the alleged unfa	air labor practices)	
Within the last six months the above named employer ha	es reneatedly engaged in unlawful conduc	et by threatening employees in the	
exercise of the rights guaranteed by Section 7. The emp			
about wages, hours and working conditions; told employ	yees they cannot communicate with or di	scuss ongoing investigations of	
wages, hours and working conditions; maintained an over			
employees who have engaged in protected concerted ac concerted activity; engaged in surveillance of employees			
employees about protected concerted activity	s engaged in protected concerted activity	and engaged in interrogation of	
,			
3. Full name of party filing charge (if labor organization, give	e full name, including local name and numbe	er)	
Communications Workers of America AFL-CIO			
4a. Address (Street and number, city, state, and ZIP code)		4b. Tel. No.	
501 Third Street, N.W.		4c. Cell No.	
Washington, DC 20001-2797	4d. Fax No.		
		4e. e-Mail tsmith@cwa-union.org	
F. Full name of national ar international labor organization of	f which it is an affiliate or constituent unit (to	ha fillad in whan abarga is	
5. Full name of national or international labor organization o filed by a labor organization)	i which it is an anniate of constituent unit (to	be filled in when charge is	
Communications Workers of America AFL-CIO			
6. DECLARATION		Tol. No. (510) 227 1001	
		Tel. No. (510) 337-1001	
I declare that I have read the above charge and that the statement and belief.	s are true to the best of my knowledge	Office, if any, Cell No.	
	DAVID A DOCENEELD AV	Fou No. (540) 227 4002	
Dans A Recepted	DAVID A. ROSENFELD, Attorney	Fax No. <b>(510) 337-1023</b>	
(signature of representative or person making charge)	(Print/type name and title or office, if any)	e-Mail	
	nlrbnotices@unioncounsel.net drosenfeld@unioncounsel.net		
Address: Weinberg, Roger & Rosenfeld	November 1, 2021	urosemeiu wumoncounsei.net	
1375 55 <sup>th</sup> Street Emeryville, CA 94608			
Eniel yville, GA 34000			

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
PRIVACY ACT STATEMENT

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## UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

**REGION 31** 11500 W OLYMPIC BLVD SUITE 600 Los Angeles, CA 90064-1753

Agency Website: www.nlrb.gov Telephone: (310) 235-7351

Fax: (310) 235-7420

Download **NLRB** Mobile App

November 2, 2021

Bobby Kotik, CEO Activision Blizzard, Inc.; Blizzard Entertainment, Inc.; Activision Publishing, Inc.; as a single Employer 3100 Ocean Park Blvd. Santa Monica, CA 90405

> Re: Activision Blizzard, Inc.; Blizzard Entertainment, Inc.;

Activision Publishing, Inc., as a single employer

Case 31-CA-285511

Dear Mr. Kotik:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

**Investigator:** This charge is being investigated by Field Attorney Marissa Dagdagan whose telephone number is (310) 307-7330. If this Board agent is not available, you may contact Supervisory Field Attorney Steven Wyllie whose telephone number is (310) 307-7312.

**Right to Representation:** You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing Form NLRB-4701, Notice of Appearance. This form is available on our website, www.nlrb.gov, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

**Presentation of Your Evidence:** We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Case 31-CA-285511

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

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In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor requests to limit our use of position statements or evidence. Specifically, any material you submit may be introduced as evidence at a hearing before an administrative law judge regardless of claims of confidentiality. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Further, the Freedom of Information Act may require that we disclose position statements or evidence in closed cases upon request, unless an exemption applies, such as those protecting confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence:</u> All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

Inc., as a single employer Case 31-CA-285511

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

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In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. Please ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a> or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

Mori Rubin

Mori Rubin Regional Director

### Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

FORM NLRB-5081	NATIONAL I	LABOR RELAT	ONS BOARD					
QUESTIONNAIRE ON COMMERCE INFORMATION								
Please read carefully, answer all applicable items, and return to the NLRB Office. If additional space is required, please add a page and identify item number.								
CASE NAME		CASE NUMBER						
				31-0	CA-285511			
1. EXACT LEGAL TITLE OF ENTITY (As filed	with State and/or	stated in legal do	ocuments forming e	entity)				
2. TYPE OF ENTITY								
[ ] CORPORATION [ ] LLC [ ] LLP [ ] PARTNERSHIP [ ] SOLE PROPRIETORSHIP [ ] OTHER (Specify )								
3. IF A CORPORATION or LLC		DDDEGG AND D	EL ATIONISMO (	1 11	OF ALL DEL ATER	EN IMPRIME		
A. STATE OF INCORPORATION OR FORMATION	B. NAME, AI	DDRESS, AND R	ELATIONSHIP (e.g	g. parent, subsidiary	) OF ALL RELATED	ENTITIES		
4. IF AN LLC OR ANY TYPE OF PARTNERSH	IP, FULL NAME	E AND ADDRESS	S OF ALL MEMBI	ERS OR PARTNE	RS			
5. IF A SOLE PROPRIETORSHIP, FULL NAM	E AND ADDRES	S OF PROPRIET	ΓOR					
6. BRIEFLY DESCRIBE THE NATURE OF YO	UR OPERATION	NS (Products hand	lled or manufactured	d, or nature of servi	ces performed).			
		•						
7A. PRINCIPAL LOCATION:		7B. BRANCH	I OCATIONS.					
/A. PRINCIPAL LOCATION:		/b. branch	LOCATIONS:					
8. NUMBER OF PEOPLE PRESENTLY EMPLO	OYED							
A. TOTAL:  B. AT THE ADDRESS INVOLVED IN THIS MATTER:								
A. TOTAL:	B. AT THE A	DDRESS INVOL	VED IN THIS MAT	ΓΤΕ <b>R</b> :				
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# 12. AUTHORIZED REPRESENTATIVE COMPLETING THIS QUESTIONNAIRE

NAME

NAME AND TITLE (Type or Print) SIGNATURE E-MAIL ADDRESS DATE

TITLE

## PRIVACY ACT STATEMENT

E-MAIL ADDRESS

TEL. NUMBER

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing representation and/or unfair labor practice proceedings and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary. However, failure to supply the information may cause the NLRB to refuse to process any further a representation or unfair labor practice case, or may cause the NLRB to issue you a subpoena and seek enforcement of the subpoena in federal court.



# UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

Agency Website: www.nlrb.gov Telephone: (310) 235-7351 Fax: (310) 235-7420



November 2, 2021

T. Smith Communications Workers of America, AFL-CIO 501 Third Street NW Washington, DC 20001-2797

11500 W OLYMPIC BLVD

Los Angeles, CA 90064-1753

REGION 31

SUITE 600

Re: Activision Blizzard, Inc.; Blizzard Entertainment, Inc.;

Activision Publishing, Inc., as a single employer

Case 31-CA-285511

Dear Sir or Madam:

The charge that you filed in this case on November 01, 2021 has been docketed as case number 31-CA-285511. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney Marissa Dagdagan whose telephone number is (310) 307-7330. If this Board agent is not available, you may contact Supervisory Field Attorney Steven Wyllie whose telephone number is (310) 307-7312.

<u>Right to Representation</u>: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

Case 31-CA-285511

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence</u>: All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. Please ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a> or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

Inc., as a single employer Case 31-CA-285511

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

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Very truly yours,

Mori Rubin

Mori Rubin Regional Director

cc: David A. Rosenfeld, Union Counsel Weinberg, Roger & Rosenfeld 1375 55th Street Emeryville, CA 94608-2609

### NATIONAL LABOR RELATIONS BOARD

### NOTICE OF APPEARANCE

and	CASE					
REGIONAL DIRECTOR  EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570	GENERAL COUNSEL  NATIONAL LABOR RELATIONS BOARD  Washington, DC 20570					
THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTATIVE OF						
IN THE ABOVE-CAPTIONED MATTER.						
CHECK THE APPROPRIATE BOX(ES) BELOW:  REPRESENTATIVE IS AN ATTORNEY  IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE TO CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY WE DOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMENTS CASEHANDLING MANUAL.	ADDITION TO THOSE DESCRIBED BELOW, THIS VILL RECEIVE ONLY COPIES OF CERTAIN					
(REPRESENTATIVE INFORM	MATION)					
NAME:						
MAILING ADDRESS:						
E-MAIL ADDRESS:						
OFFICE TELEPHONE NUMBER:						
CELL PHONE NUMBER:	FAX:					
SIGNATURE:  (Please sign in ink.) DATE: November 16, 2021						

 $<sup>^{\</sup>rm 1}$  IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.